

**Item 1: Cover Page  
Part 2B of Form ADV: Brochure Supplement  
December 2021**

**Shaun Mutch**



**Fairway Capital Advisors, LLC  
620 Newport Center Drive, Suite 1100  
Newport Beach, California 92660**

**Firm Contact:  
Robert McDonald  
Managing Partner**

This brochure supplement provides information about Mr. Mutch that supplements our brochure. You should have received a copy of that brochure. Please contact Robert McDonald if you did not receive Fairway Capital Advisors, LLC's brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Mutch is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD #4641081.

## Item 2: Educational Background & Business Experience

**Shaun Patrick Mutch**  
**Year of Birth:** 1980

### **Educational Background:**

- 2002: Claremont McKenna College; Bachelor of Science in Government

### **Business Background:**

- 07/2021 – Present Fairway Capital Advisors, LLC; Managing Partner & CCO
- 08/2021 – Present Purshe Kaplan Sterling Investments, Inc.; Registered Representative
- 12/2011 – 07/2021 UBS Financial Services, Inc.; Financial Advisor
- 09/2002 – 12/2011 Ayco Company, L.P. (Goldman Sachs); Financial Analyst

### **Exams, Licenses & Other Professional Designations:**

- 2014: Series 66 Exam
- 2008: Series 7 Exam

## Item 3: Disciplinary Information<sup>1</sup>

There are no legal or disciplinary events material to the evaluation of Mr. Mutch. Mr. Mutch has not been subject to any criminal or civil actions, administrative proceedings, self-regulatory organization (SRO) proceedings, revocations, or suspensions

## Item 4: Other Business Activities

Mr. Mutch is a registered representative of Purshe Kaplan Sterling Investments, Inc., member FINRA/SIPC. He may offer products and receive normal and customary commissions from these transactions. A conflict of interest may arise as these commissionable securities sales may create an incentive to recommend products based on the compensation earned. To mitigate this potential conflict, Mr. Mutch, as a fiduciary, will act in the client's best interest.

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<sup>1</sup> Note: Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving the advisor to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of advisor to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.

### **Item 5: Additional Compensation**

Mr. Mutch does not receive any other economic benefit for providing advisory services in addition to advisory fees. Mr. Mutch does not receive economic benefits (such as sales awards and other prized) from someone who is not a client.

### **Item 6: Supervision**

Robert McDonald is a principal and Managing Partner of Fairway Capital Advisors, LLC. As such, he supervises and monitors Mr. Mutch's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Mr. McDonald if you have any questions about Mr. Mutch's brochure supplement at (818) 653-6889.

### **Item 7: Requirements for State-Registered Advisers**

Mr. Mutch has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, he has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.